

## Electronic Acknowledgement Receipt

<b>EFS ID:</b>	4973239
<b>Application Number:</b>	10783538
<b>International Application Number:</b>	
<b>Confirmation Number:</b>	7446
<b>Title of Invention:</b>	Securities market and market maker activity tracking system and method
<b>First Named Inventor/Applicant Name:</b>	Stephen Cutler
<b>Customer Number:</b>	23908
<b>Filer:</b>	Morris David Galin
<b>Filer Authorized By:</b>	
<b>Attorney Docket Number:</b>	CUTSP0104US
<b>Receipt Date:</b>	16-MAR-2009
<b>Filing Date:</b>	20-FEB-2004
<b>Time Stamp:</b>	16:18:45
<b>Application Type:</b>	Utility under 35 USC 111(a)

### Payment information:

Submitted with Payment	yes
Payment Type	Credit Card
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File Listing:					
Document Number	Document Description	File Name	File Size(Bytes)/ Message Digest	Multi Part /.zip	Pages (if appl.)
1		CUTSP0104US_R02.pdf	233598	yes	60
			97c5f4f5a166c04e0646079fd93e043e66f71a8		
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment/Req. Reconsideration-After Non-Final Reject		1	1	
	Claims		2	41	
	Applicant Arguments/Remarks Made in an Amendment		42	60	
Warnings:					
Information:					
2	Extension of Time	CUTSP0104US_R02_ExtofTime.pdf	242058	no	2
			3ac4fea69ae21e3ce0adc88a5b2ce20fab49db18		
Warnings:					
Information:					
3	First Action Interview - Schedule Interview request	CUTSP0104US_InterviewRequest.pdf	283986	no	2
			9f36816f6e4bf853cb5afe87e44ef8e5df8c9a8d		
Warnings:					
Information:					
4	Fee Worksheet (PTO-06)	fee-info.pdf	30126	no	2
			0d469b94abe32bd13b7e78039cf8f4bde7fbb2a3		
Warnings:					
Information:					
Total Files Size (in bytes):			789768		

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**If a new application is being filed and the application includes the necessary components for a filing date (see 37 CFR 1.53(b)-(d) and MPEP 506), a Filing Receipt (37 CFR 1.54) will be issued in due course and the date shown on this Acknowledgement Receipt will establish the filing date of the application.**

**National Stage of an International Application under 35 U.S.C. 371**

**If a timely submission to enter the national stage of an international application is compliant with the conditions of 35 U.S.C. 371 and other applicable requirements a Form PCT/DO/EO/903 indicating acceptance of the application as a national stage submission under 35 U.S.C. 371 will be issued in addition to the Filing Receipt, in due course.**

**New International Application Filed with the USPTO as a Receiving Office**

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